



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark A. Nierzwick et al.  
Serial No.: 10/004,720  
Filed: December 3, 2001  
For: METHOD AND APPARATUS FOR PROVIDING PARENTAL CONTROL  
Examiner: N/A  
Art Unit: 2131

INFORMATION DISCLOSURE STATEMENT

**RECEIVED**  
FEB 28 2002  
Technology Center 2100

- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

(b) The required Certification is stated in item 11 below.

[ ] 11 Certification

[ ] 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[ ] 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,  
Mark A. Nierzwick, et al.

BY: Robert D. Shedd  
Robert D. Shedd, Attorney  
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P.O. Box 5312  
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DATE: 31 January 2002

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Date: 2-1-02 Signature [Signature]

PATENT  
PU010260



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Sheet 1 of 1

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. PU010260		SERIAL NO. 10/004,720	
INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (Use several sheets if necessary)				APPLICANT Mark A. Nierzwick, et al.			
				FILING DATE 12/03/01		GROUP 2131	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	ISSUE DATE	APPLICANT/ PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,382,983	1/17/95	Kwoh et al.	348	716	
	AB	5,485,518	1/16/96	Hunter et al.	380	20	
	AC	5,583,576	12/10/96	Perlman et al.	348	460	
	AD	5,949,471	9/7/99	Yuen et al.	348	5.5	
	AE	5,969,748	10/19/99	Casement et al.	348	7	
	AF	6,037,969	3/14/00	Lim et al.	348	5.5	
	AG	6,072,520	6/6/00	Yuen et al.	348	5.5	
	AH	6,115,057	9/5/00	Kwoh et al.	348	5.5	
	AI	6,144,401	11/7/00	Casement et al.	348	7	
	AJ	6,212,679	4/3/01	Vornsand	725	25	
	AL	6,226,793	5/1/01	Kwoh	725	28	
FOREIGN PATENT DOCUMENTS							
	AL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
	AM						
	AN						
	AO						
	AP						
	AQ						
OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)							
	AR						
	AS						
	AT						
	AU						
EXAMINER				DATE CONSIDERED			
SUBMITTED BY: <i>Robert D. Shedd</i> Robert D. Shedd REG. NO.: 36,269							
DATE: <i>31 January 2002</i>							

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Date: 2-1-02 Signature: *Robert D. Shedd*